

DLI #2 VERIFICATION PROTOCOL

(1st ROUND OF VERIFICATION)

1. Background

The broad framework of DLI#2 protocol has been developed based on the Atal Bhujal Yojana Program Guidelines, Ver- 1.1 of the DoWR, RD & GR, Ministry of Jal Shakti and Project Appraisal Document (PAD) of the World Bank. A comprehensive table related to DLI Verification Protocols is included in the Program Guidelines as Annexure–1b; the same has been suitably modified and reproduced below as Table 1 which elaborates the procedure of verification of DLI#2

Table 1: DLI #2 Verification Protocol

DLI#2	Preparation of Community-led Water Security Plans
Definition	A GP in a selected block is verified to have achieved the DLI when it has completed its WSP, including Water Budget, in a participatory manner
Scalability of Disbursements	Yes
Data Source/Agency	(a) Approved Water Budget (GP), (b) Approved Water Security Plan (GP), and (c) Record of Community Participation (GP)
Verification Entity	TPGVA

Procedure of Verification

For a given GP, the DLI is considered to be achieved upon completion of all of the following three criteria:

Water Budgets: Completion is considered successful when a Water Budget using the template in the MIS has been completed for the GP and approved by Water and Sanitation Committee (WSC) / PGWM Committee / WUA / CBOs / WMC / any other committee

WSPs: WSP completion and its approval is considered successful when following steps are completed

- (a) Approval of the Water Budget / WSP is taken by passing a Resolution as per the prescribed format (**Annexure – I**) signed by at least 3/4th of the members of the Water and Sanitation Committee (WSC) / PGWM Committee meeting in which other community representatives from WUA / CBOs / WMC / SHG are also present and countersigned by Gram Panchayat Secretary
- (b) Vetting of WSP by DPMU is completed by inserting a “Declaration” in the prescribed format as given in (**Annexure – II**). After Insertion of declaration, DPMU to share the approved WSP with SPMU, NPMU and for uploading in MIS

Community Participation: Completion is considered successful when records of at least one community-level meetings are verified as adequate based on attendance sheet (s) (**Annexure – IV**) of the Minutes of the Meeting (MoM) [*MoM should also include 3/4th members present in Resolution template of Water and Sanitation Committee (WSC) / PGWM Committee / WUA / CBOs involved in making / approval of Water Security Plans*] which has been deliberated and finalized (where participants include at least 20% of women members). The TPGVA shall validate the above through interviews of select participants involved in water budgeting and preparation of WSPs

Steps to be followed for preparation of community led WSP and approvals is provided in detail at (**Annexure III**)

2. Proposed Verification Protocol

As part of the protocol, it is proposed that the achievement by a State against DLI#2 shall be verified with respect to year-on-year increase in the following sub-indicators:

- 1. No. of Water Budgets completed by GPs and approved by WSC/PGWM committee/WUA/WMC/CBOs as per laid down procedure**
2. No. of Water Security Plans completed, approved as per laid down procedure and signed by 3/4th of the members of Water and Sanitation Committee (WSC) / PGWM Committee / WUA / CBOs in the Resolution as per the prescribed template
3. No. of GPs with at least one Community-level meeting conducted with Minutes of Meeting including at-least 3/4th members present in Resolution template of Water and Sanitation Committee (WSC) / PGWM Committee / WUA / CBOs towards making / approval of Water Security Plans

The base line for the first year (2020-21) shall be zero (0)

The verification shall be undertaken in two steps; Step one is Desktop verification followed by Ground / Field verification

Step - I: Desktop Verification

All WSPs received shall be evaluated for the following filters based on desktop assessment, to assess their eligibility for the next step:

a) At least one Community-level meeting (s) conducted to prepare WSP (s); the MoM should be uploaded on the MIS (Format for MoM is available on MIS). MoM should include at least 3/4th members of the Water and Sanitation Committee (WSC) / PGWM Committee / WUA / CBOs / WMC / SHG in the Resolution as per the prescribed template

b) WSPs completed as per the template on MIS and Resolution attached (as per Annexure- I) as part of approval by WSC/WMC/CBO/PGWM Committee

c) WSP Declaration form to be mandatorily filled and attached by DPMU (template as per Annexure II) with the WSP submitted on the MIS

The criteria for qualification for disbursement of incentives in respect of various sub-indicators under DLI#2 is given below:

2.1. Towards sub-indicator (1) of DLI#2, Water Budgets prepared by the GPs shall be evaluated based on the template available on MIS to ascertain the completeness of the following information:

- Gram Panchayat information
- Water Availability information related to the quantum of rainfall, groundwater available, surface water available
- Water Utilization information related to estimates of water used for drinking/domestic purposes, irrigation, industrial and other purposes
- Water Balance based on water availability and water utilization
- The format indicating the Source data is uploaded in the MIS

2.2. Towards sub-indicator (2) of DLI#2, Water Security Plans (WSPs) approved by the designated committee shall be evaluated based on the template available on MIS to capture the following information:

- Signature of at least 3/4th of the members of PGWM/Water and Sanitation Committee/ WUA/CBOs/any other committees; as part of the Resolution
- Number and Type of Demand/Supply side interventions planned to be implemented through convergence

The Program Guidelines (section 2.6) outlines an indicative set of typical demand and supply-side interventions under Atal Bhujal Yojana

2.3. Towards sub-indicator (3) of DLI#2, for the WSPs prepared by the GPs, community participation is evaluated based on

- Formation and/or strengthening of existing committees – 20 % of women. Also, representation of vulnerable groups, if any
- No. of community-level meetings conducted to discuss WSPs – 20 % of women and vulnerable attendees

Step - II: Ground Verification / Field Verification

Following the desktop verification, annual survey / verification shall be carried out by the TPGVA in a systematic manner to verify some of the facts pertaining to data source used in preparation of WSP and involvement of community including women in decision making.

1. Records maintained at DPMU / Gram Panchayat office as well as by the DIPs in respect of various data used in estimation of water availability, utilization and arriving at WSP
2. The meeting (s) for the deliberation and finalization of WSP for which MoM is submitted on MIS, shall be attended preferably by at least 1 member from all the Gram Sabhas of the GP
3. Interviews / physical meetings with some of the committee members involved in preparation of WSP / officials of line department/ citizens / villagers / stakeholders on random basis to establish ownership as well as extent of awareness about the program
4. Interviews with selected participants of which at least 25% shall be women
5. Records of the Gram Sabha / consultation Meetings held in connection with Atal Bhujal Yojana
6. Financials as per the approximated cost with a certificate that the rates are as per prevailing SoR
7. Records pertaining to awareness creation at the Gram Panchayat: Such as documentary proof / photographs of Nukkad Nataks (Street Plays), audio-visual clips, wall-writing, rallies, display boards, pamphlets and other mediums of communication
8. Apart from the disbursement linked indicators, a set of non-disbursing indicators are also to be verified (*Ref: Section 5.2 of Program guidelines ver 1.1*):
 1. The number of WUAs having representation of women and vulnerable groups
 2. Section of people likely to be benefitted

RESOLUTION BY THE COMMITTEE

The committee hereby resolves that the Water Security Plan (WSP) of _____ Gram Panchayat, Block/Taluk/Mandal _____, District _____ is complete in all respect and has been prepared through consultative process and in consultation with _____ (count of) committee members. The members of the committee are well aware of the data authenticity used in preparation of the Water Security Plan (WSP) and interventions proposed.

Signed by 3/4th members of the Committee¹

S. NO.	NAME	NAME OF THE COMMITTEE	SIGNATURE

Counter Signed by:

Name: _____

Date: _____

Gram Panchayat Secretary: _____

Place: _____

Gram Panchayat: _____

Block/Taluk: _____

District: _____

State: _____

¹ The Template can be downloaded from Atal Jal MIS

DPMU DECLARATION

I, _____ (Name), _____ (Designation), authorised representative under District Program Management Unit (DPMU) declare that the Water Security Plan (WSP) submitted for the _____ Gram Panchayat, Block/Taluk _____ is complete in all respect and as per the prescribed protocols & MIS Template for Atal Bhujal Yojana DLI#2.

It is hereby confirmed that the submitted Water Security Plan (WSP) is vetted & approved by the designated committee at Gram Panchayat.

All the required data available has been utilized in the best of our knowledge, and no other information/documentation is pending from DPMU to be shared regarding the submission of approved Water Security Plan (WSP) of this Gram Panchayat (GP).

Name of Officer: _____

Designation of Officer: _____

State: _____

District: _____

Block: _____

Gram Panchayat: _____

DPMU Office address: _____

Seal and Signature of authorised DPMU official:

Date: _____ **Time:** _____

STEPS - WSP Preparation and Approval

S. NO.	STEPS TO BE FOLLOWED	LEVEL
1	Compilation of draft Water Budget / WSP by DIP in consultation with WSC/WMC/PGWM committee adequately represented by Gram Sabha's and other village level committee members	DIP / Gram Panchayat
2	Submission of draft WSP to GP & DPMU for vetting	Gram Panchayat / DPMU
3	GP & DPMU to review the draft WSP and suggest changes (if any)	Gram Panchayat / DPMU
4	DIP-WMC/PGWM to incorporate the suggested changes and Finalization of Water Budget / WSP through consultative process and approval by the WSC/WMC/PGWM by passing a Resolution in prescribed format (Annexure – I)	Committee/ Gram Panchayat
5	Approved WSP along with acknowledgement through Gram Panchayat Resolution, to be sent to DPMU	Committee / Gram Panchayat
6	Acceptance and vetting of WSP by DPMU through inserting / attaching a Declaration in the prescribed format (Annexure – II)	DPMU
7	Approved WSP to be uploaded on MIS by DPMU and shared with GP, SPMU & NPMU	DPMU
8	Consolidation of all the WSPs of the district and submission to District Development Authority / Zila Parishad through Head DPMU for fund allocation through Convergence	DPMU
9	Consolidation of all the district wise WSPs of the state and submission to SISC for prioritization of interventions at the level of state and budgetary allocations	SPMU/PIA/SISC

Attendance Sheet Template

S. No.	Name	Gender •Female •Male •Other	Category •General •OBC •ST •SC	Occupation	Are you a member of any Committee? (Yes/No)	If yes, name of the Committee	Land holding Status •Landless •<2.5 acre •2.5-5 acre •>5 acre	Phone No.	Signature